

Securities and Business Law CLE

February 7, 2018

Utah Law & Justice Center

Topics/Presenters

1. ***Raising Investment Capital: The Basics of Complying with Securities Laws***
 - Brad R. Jacobsen, Michael Best

2. ***Common Remedies in Securities Regulation Cases in Utah***
 - SEC – Dan Wadley
 - State Division of Securities, Keith Woodwell

3. ***Everything Old is New Again – Alternatives to traditional IPOs get fresh branding in the Information Age***
 - Jim Kruse and Betsy Voter, Michael Best

4. ***Legal and Ethical Constraints on Settlement Agreements***
 - Wayne Klein, Klein & Associates

5. ***Case Study: The Conviction of Evan Greebel*** (Martin Shrkeli Attorney)
 - Maria Windham, Ray Quinney & Nebeker

6. ***Lucia v SEC***
 - Erik Christiansen, Parsons Behle & Latimer

7. ***Executive Compensation***
 - David Marx, Dorsey & Whitney

Schedule

1. 830-930 (1) - Raising Investment Capital
2. 930-1030 (2) – Common Remedies
3. Break, 1030-1045
4. 1045-1145 (3) – Everything Old is New Again
5. Lunch – Wayne Klein Ethics presentation.
6. 115-215 (4) – Case Study
7. 215-315 (5) – Lucia v SEC
8. 315-415 (6) – Executive Compensation